

# Review



# Overview

- **Theory of computation:** central areas:  
**Automata, Computability, Complexity**
- **Computability:** Is the problem solvable?
  - solvable and unsolvable

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- **Complexity:** Is the problem easy to solve?
  - easy ones and hard ones
- Both deal with formal models of computation: **Turing machines**, recursive functions, lambda calculus, and production systems

# Overview

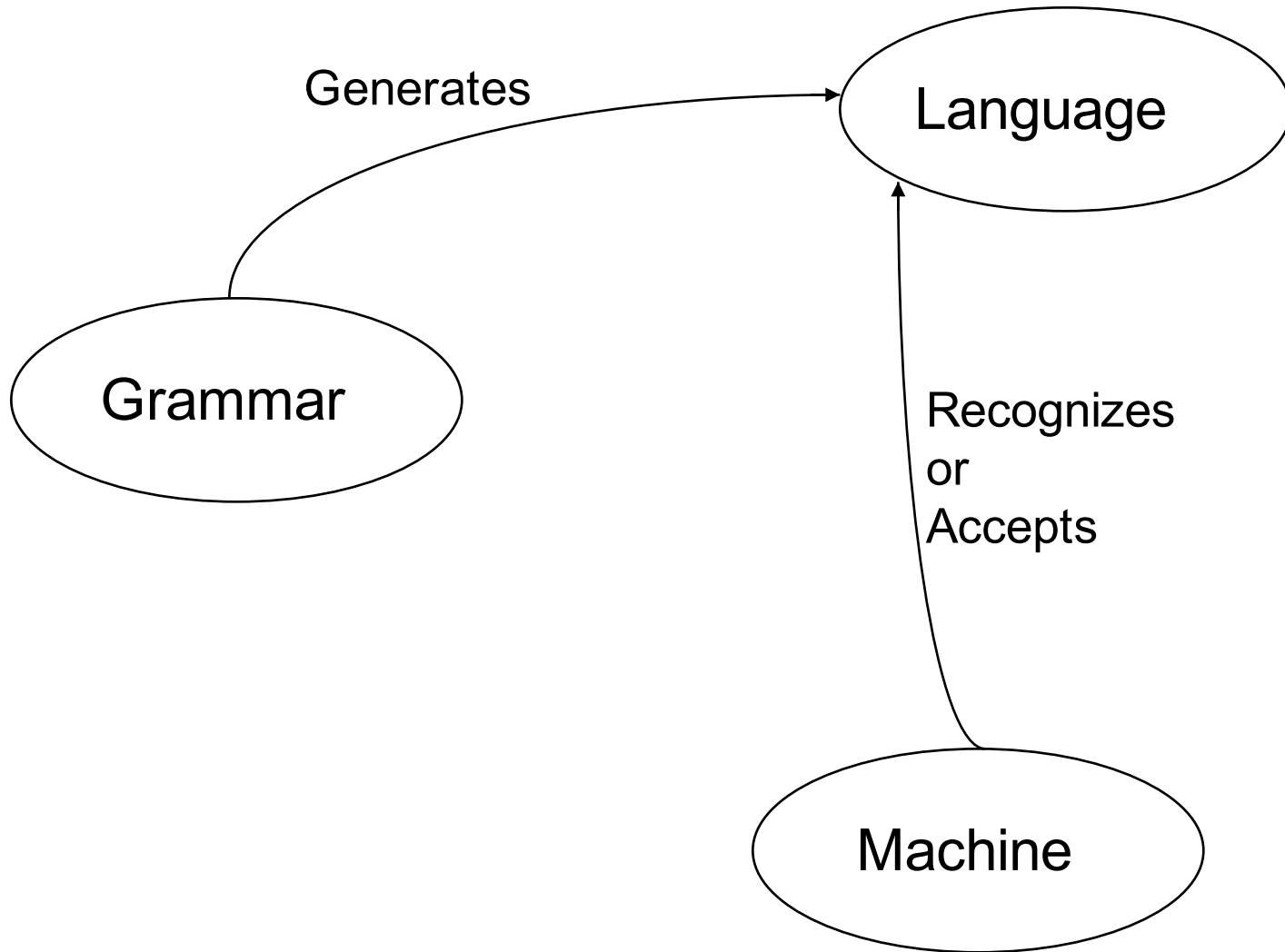
- **Automata theory:** study of abstract machines and problems they are able to solve.
  - closely related to formal language theory as the automata are often classified by the class of formal languages they are able to recognize.
  - An abstract machine, also called an abstract computer, is a theoretical model of a computer hardware or software system
  - FSM, PDA, Turing machine
- **Formal language:** A set of strings over a given alphabet.
  - In contrast to natural language
  - Often defined by formal grammar
  - Regular, context-free, D, SD



# Approach

- Language recognition framework:
  - transform a problem into a decision problem (verification) if it is not
  - encode the inputs as strings and then define a language that contains exactly the set of inputs for which the desired answer is yes
  - the original problem now becomes a language recognition problem
- Now, all problems have the same look
- Machines (FSM, PDA, TM ... ) are abstract computational models. They are used to classify problems.

# Grammars, Languages, and Machines



# Path

- Given input  $w$ , a maximal sequence of moves that  $M$  takes from the starting configuration
- A path  $P$  ends when it enters an accepting configuration, or there is no transition defined for its current configuration, i.e., it has no where to go.
- If  $P$  ends in an accepting configuration,  $P$  accepts  $w$ .
- If  $P$  ends in a non-accepting configuration,  $P$  rejects  $w$ .
- If there is some path of  $M$  that accepts  $w$ ,  $M$  halts and accepts  $w$ .
- If all paths of  $M$  reject  $w$ ,  $M$  halts and rejects  $w$ .
- It is possible that  $M$  neither accepts nor rejects  $w$ . In which case, none of its paths accepts  $w$  and some path does not reject  $w$ .
  
- Applies to all kinds of automata in the scope of our study.

**Different from the text**

# In Particular

- If  $M$  is deterministic, there's only **one** path.
- For DFSA and DPDA, the path is finite and guaranteed to end. Upon halting, it either accepts or rejects. So, DFSA and DPDA are guaranteed to halt (in at most  $|w|$  moves).
- Since DFSA = regular languages, the above halting property of DFSA implies that regular languages are in  $D$ .
- For DTM, the path maybe infinite. So DTM may not halt for some  $w$ .
  - It happens when  $M$  is a semi-decider and not a decider, and for  $w$  that is not in  $L(M)$
  - Not that DTM = SD

# In Particular

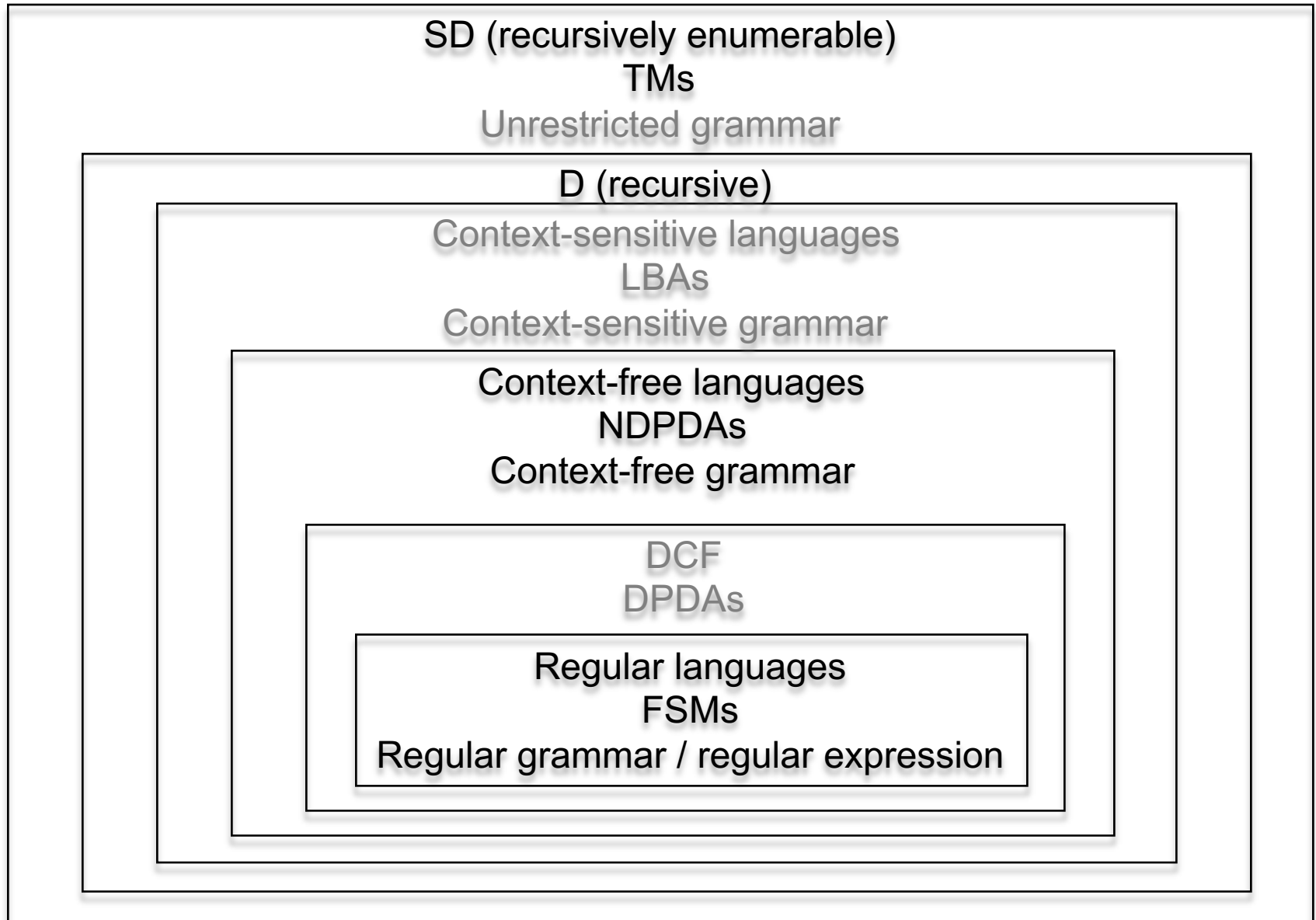
- If  $M$  is nondeterministic, there're maybe multiple paths.
- For NDFSM and NDPDA, if without  $\varepsilon$ -transitions, they are guaranteed to halt in at most  $|w|$  moves. Otherwise, no.
- For each NDFSM  $M$ , there is an equivalent DFSM  $M'$ 
  - “equivalent” means  $L(M) = L(M')$
- For each NDPDA  $M$ , there an equivalent NDPDA  $M'$  that halts.
- Since NDPDA = context-free languages, the above halting property of NDPDA implies that context-free languages are in  $D$ .
- For NDTM, no such properties, as DTM does not even always halt.



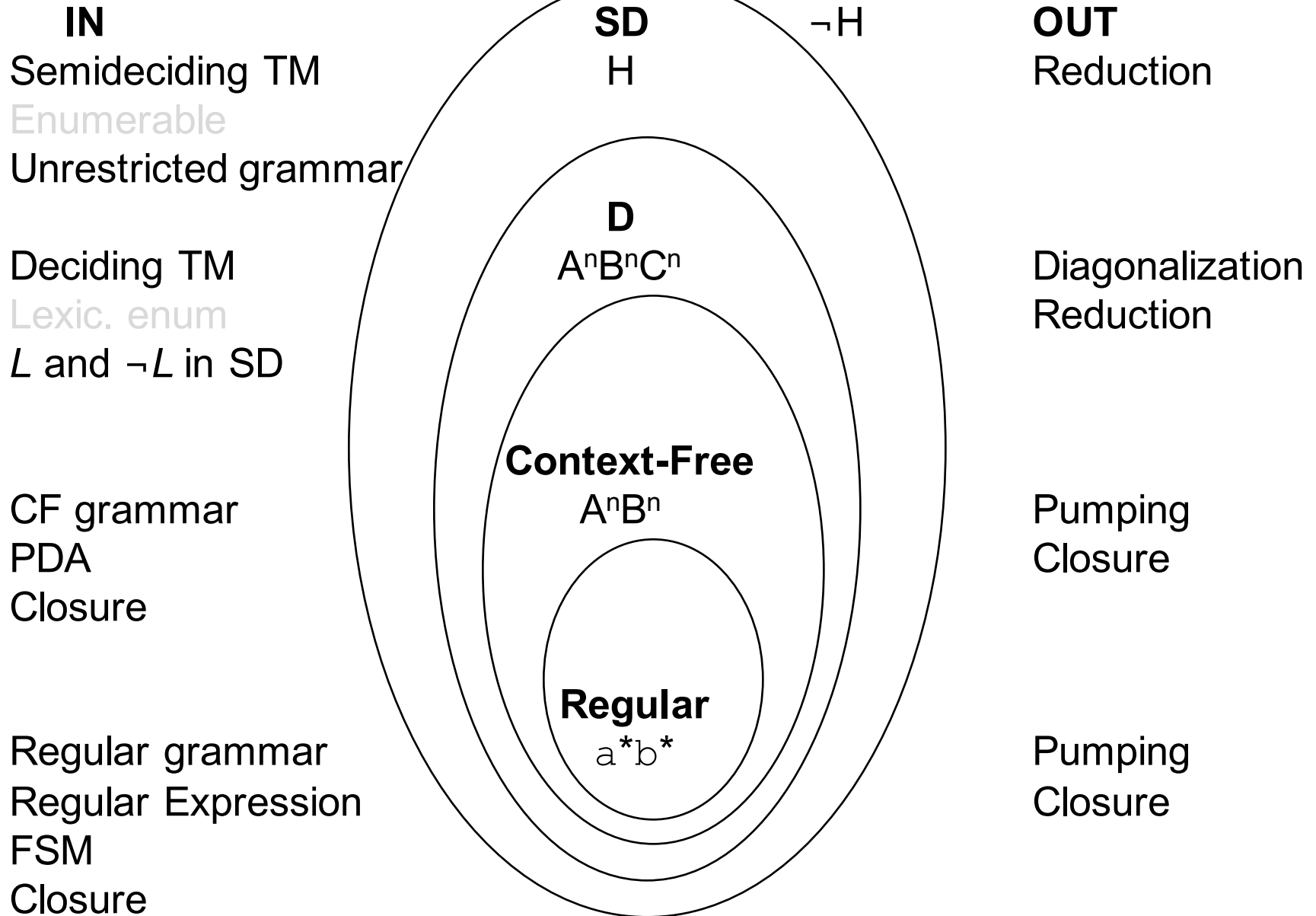
# Recognizer and Decider

- $L(M)$ : language accepted by  $M$ .
  - For each  $w \in L(M)$ ,  $M$  halts
- Recognizer:  $M$  is a recognizer (acceptor) of  $L$  if  $M$  halts on any  $w \in L$  and returns “yes”
  - They are semi-deciders
- Decider:  $M$  is a decider of  $L$  if  $M$  always halts, returns “yes” if  $w \in L$  and “no” if  $w \notin L$

# Languages, Machines, and Grammars



# Language Summary



# Reduction

$$P_{old} \leq P_{new}$$

- means that  $P_{old}$  is reducible to  $P_{new}$
- reduction from  $L_{old}$  to  $L_{new}$
- if  $L_{new}$  can be done,  $L_{old}$  can be done

1. Known  $P_{old}$  is not in D, we can show  $P_{new}$  is not in D
  2. Known  $P_{old}$  is not in SD, we can show  $P_{new}$  is not in SD
- For both, no need to care about the efficiency of the reduction.

Can also be used in complexity to show NP-hardness:

3. Known  $P_{old}$  is NP-hard, we can show  $P_{new}$  is NP-hard
- Need to care about the efficiency of the reduction (polynomial).

**Mapping reduction:** the most straightforward way of reduction is to transform instances of  $L_{old}$  into instances of  $L_{new}$

$L_{old}$  is *mapping reducible* to  $L_{new}$  ( $L_{old} \leq_M L_{new}$ ) iff there exists some computable function  $f$  such that:

$$\forall x \in \Sigma^* (x \in L_{old} \leftrightarrow f(x) \in L_{new})$$

# The Complexity Zoo

The attempt to characterize the decidable languages by their complexity:

[http://qwiki.stanford.edu/wiki/Complexity\\_Zoo](http://qwiki.stanford.edu/wiki/Complexity_Zoo)

Notable ones:

P: solution found by deterministic TM (algorithm) in polynomial time

- tractable
- context-free (including regular) languages are in P

NP: solution found by nondeterministic TM (algorithm) in polynomial time

- solution can be verified by DTM in polynomial time

NP-complete: as hard as any one in NP & in NP (hardest ones in NP)

- no efficient algorithm is known
- require non-trivial search, as in TSP

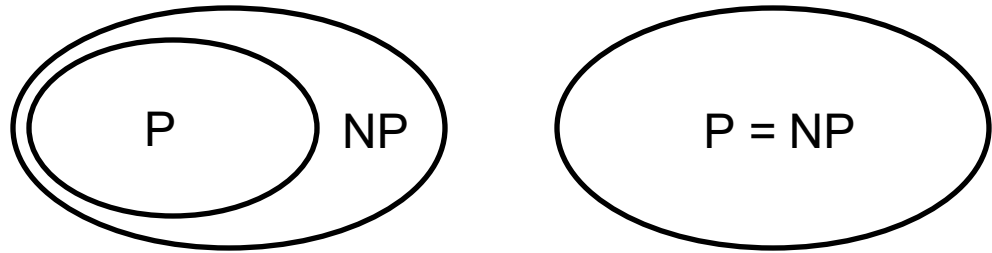
NP-hard: as hard as any one in NP (not necessarily in NP)

- L is NP-complete if it is in NP and it is NP-hard
- Intractable = not in P. But since it's believed  $P \neq NP$ , loosely intractable = NP hard = NP complete + not in NP
- If it's proved that  $P = NP$ , then intractable = not in P = not in NP

# P and NP

Greatest unsolved problem in theoretical computer science:  
Is  $P = NP$ ? *The Millenium Prize*

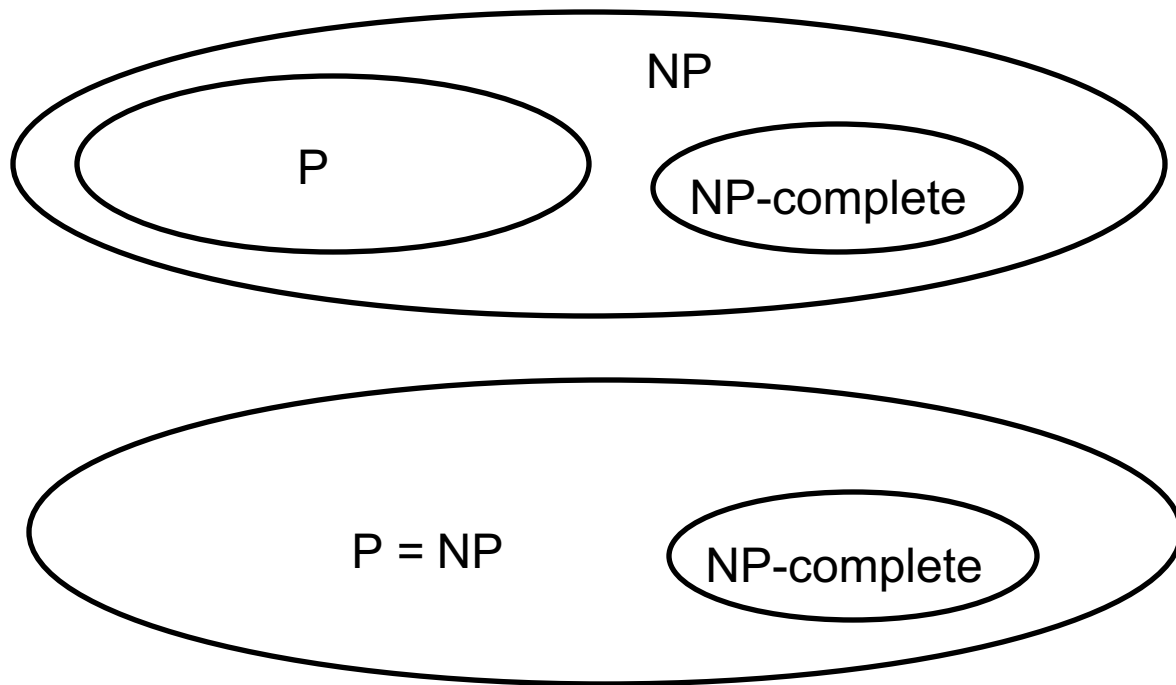
Two possibilities:



If  $P = NP$ , any polynomially verifiable problems would be polynomially decidable

# NP-Completeness

- The class of NP-complete is important, many of its members, like TSP, have substantial practical significance.
- Two possibilities:



# Strategy for Proving NP-completeness of $L_{new}$

- Show that  $L_{new}$  belongs to NP
  - Exhibit an NDTM to decide it in polynomial time
  - Or, equivalently,
  - Exhibit a DTM to verify it in polynomial time
  - This establishes an upper bound on the complexity of  $L_{new}$
- Show that  $L_{new}$  is NP-hard by finding another NP-hard language  $L_{old}$  such that

$$L_{old} \leq_P L_{new}$$

- This establishes a lower bound on the complexity of  $L_{new}$



# Example Reductions

