

Analysis of Algorithms

An Introduction

CS 3358
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Note: in this lecture “function” almost always refers to a mathematical function, as in $f(x) = x+101$

Sections 6.1, 6.2, 6.4 (optional), 6.6 (not 6.6.3)

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Algorithms

- An algorithm is a clearly specified set of instructions a computer follows to solve a problem.
- An algorithm should be
 - correct
 - efficient: not use too much time or space
- Algorithm analysis: determining how much time and space a given algorithm will consume.²

Algorithms

- Note that two very different algorithms can solve the same problem
 - bubble sort vs. quicksort
 - List insert in an array-based implementation vs. a linked-list-based implementation.
- How do we know which is faster (more efficient in time)?
- Why not just run both on same data and compare?

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Algorithms

- Could measure the time each one takes to execute, but that is subject to various external factors
 - multitasking operating system
 - speed of computer
 - language solution is written in (compiler)
- Need a way to quantify the efficiency of an algorithm independently of execution platform, language, or compiler

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Estimating execution time

- The amount of time it takes an algorithm to execute is a function of the input size.
- We use the number of statements executed (given a certain input size) as an approximation of the execution time.
- Count up statements executed for a program or algorithm as a function of the amount of data
 - For a list of length N, it may require executing $3N^2+2N+125$ statements to sort it using a given algorithm.

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Counting statements

- Each single statement (assignment, output) counts as 1 statement
- A boolean expression (in an if stmt or loop) is 1 statement
- A function call is equal to the number of statements executed by the function.
- A loop is basically the number of times the loop executes times the number of statements executed in the loop.
 - usually counted in terms of N, the input size.

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Counting statements example

```
int total(int[] values, int numValues)
{
    int result = 0;
    for(int i = 0; i < numValues; i++)
        result += values[i];
    return result;
}
```

- What does N (input size) represent in this case?
 - the number of values in the array (==numValues)
- Tally up the statement count:
 - int result = 0; (1)
 - int i=0; (1)
 - i < numValues (N+1)
 - i++ (N)
 - result += values[i]; (N)
 - return result; (1)

Total = $3N + 4$

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Comparing functions

- Is $3N+4$ good? Is it better (less) than
 - $5N+5$?
 - $N+1,000$?
 - $N^2 + N + 2$?for all values of N?
- Hard to say without graphing them.
- Even then, are the differences significant?

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Comparing functions

- When comparing these functions in algorithm analysis
 - We are concerned with very large values of N.
 - We tend to ignore all but the “dominant” term.

At large values of N, 3N dominates the 4 in 3N+4

 - We also tend to ignore the constant factor (3).
- We want to know which function is growing faster (getting bigger for bigger values of N).

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Function classifications

- Constant $f(x)=b$ $O(1)$
- Logarithmic $f(x)=\log_b(x)$ $O(\log n)$
- Linear $f(x)=ax+b$ $O(n)$
- Linearithmic $f(x)=x \log_b(x)$ $O(n \log n)$
- Quadratic $f(x)=ax^2+bx+c$ $O(n^2)$
- Exponential $f(x)=b^x$ $O(2^n)$

Last column is “big Oh” notation

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Classifications of (math) functions

Constant	$f(x)=b$	$O(1)$
Logarithmic	$f(x)=\log_b(x)$	$O(\log n)$
Linear	$f(x)=ax+b$	$O(n)$
Linearithmic	$f(x)=x \log_b(x)$	$O(n \log n)$
Quadratic	$f(x)=ax^2+bx+c$	$O(n^2)$
Exponential	$f(x)=b^x$	$O(2^n)$

- Last column is “big Oh notation”, used in CS.

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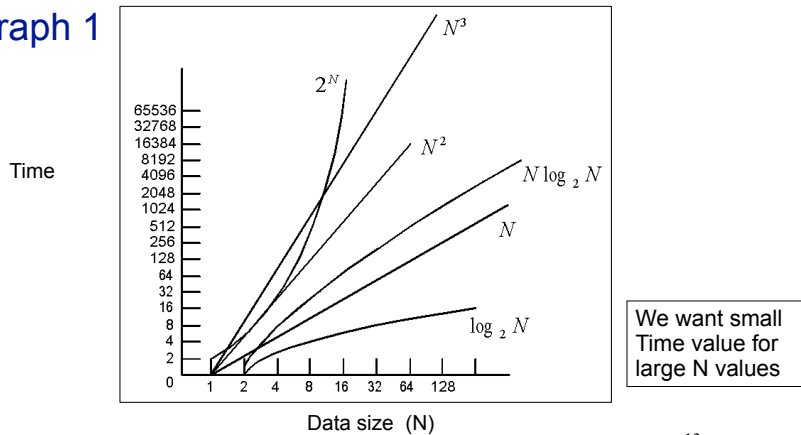
Comparing functions

- For a given function expressing the time it takes to execute a given algorithm in terms of N,
 - we ignore all but the dominant term and put it in one of the function classifications.
- Which classifications are more efficient?
 - The ones that grow more slowly.

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Comparing functions

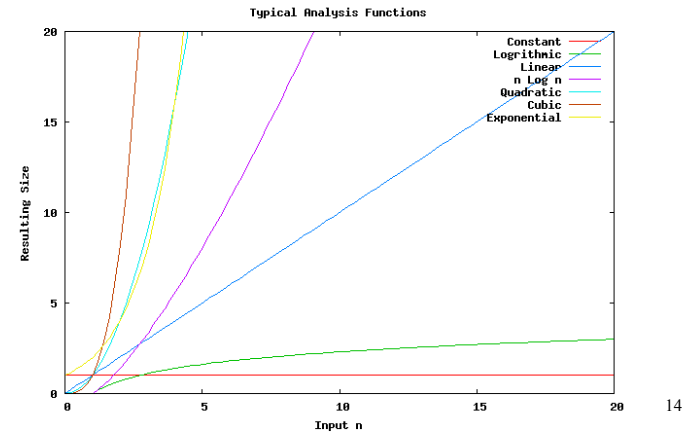
- Graph 1



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Comparing functions

- Graph 2



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Comparing functions

- Assume N is 100,000, processing speed is 1,000,000,000 operations per second

Function	Running Time
2^N	3.2×10^{30086} years
N^4	3171 years
N^3	11.6 days
N^2	10 seconds
$N \log N$	0.0017 seconds
N	0.0001 seconds
square root of N	3.2×10^{-7} seconds
$\log N$	1.2×10^{-8} seconds

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Formal Definition of Big O

“Order F of N”

- $T(N)$ is $O(F(N))$ if there are positive constants c and N_0 such that $T(N) \leq cF(N)$ when $N \geq N_0$
 - N is the size of the data set the algorithm works on
 - $T(N)$ is the function that characterizes the actual running time of the algorithm (like $3N+4$)
 - $F(N)$ is a function that characterizes an upper bounds on $T(N)$. It is a limit on the running time of the algorithm. (The typical Big O functions)
 - c and N_0 are constants. We pick them to make the definition work.

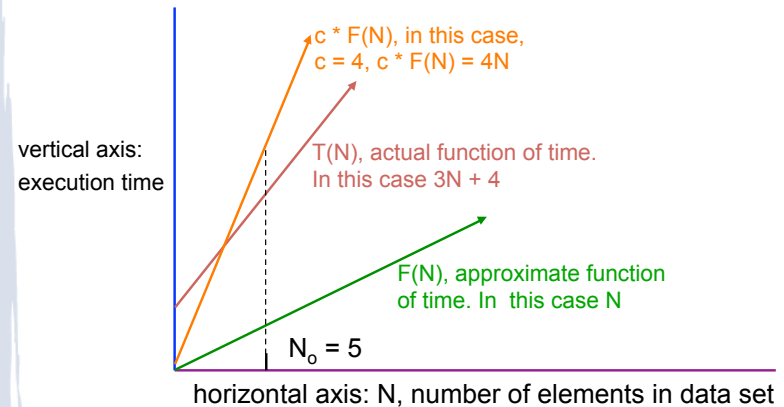
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Example using definition

- Given $T(N) = 3N + 4$, prove it is $O(N)$.
 - $F(N)$ in the definition is N
 - We need to choose constants c and N_0 to make $T(N) \leq cF(N)$ when $N \geq N_0$ true.
 - Lets try $c = 4$ and $N_0 = 5$.
 - Graph on next slide shows:
 $3N+4$ is less than $4N$ whenever N is bigger than 5

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Demonstrating $3N+4$ is $O(N)$



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Big O is an upper bound (any upper bound)

- If $T(N)$ is $O(F(N))$, then for big values of N , $cF(N)$ is greater than $T(N)$.
 - If $T(N)$ is $O(n)$, it is also $O(n^2)$.
 - If $T(N)$ is $O(n)$, it is also $O(n^3)$.
 - If $T(N)$ is $O(n)$, it is also $O(n \log n)$.
- But these statements are not very informative.
 - If $T(N)$ is $O(n)$, it may or may not be $O(1)$.
- We prefer to state the tightest bound possible when analyzing algorithms.

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Best, Average, Worst case analyses

Because certain data values may affect execution time (still functions of N):

- Best case: fewest possible statements executed considering all possible cases of input of size N
- Average case: average number of statements executed for all possible cases of input of size N
- Worst case: maximum number of statements that could be executed for all possible cases of input of size N

Consider examples 1 and 2 that follow:

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Example 1:

```
bool findNum(double[] values, int numValues, double num)
{
    int found = false;
    for(int i = 0; i < numValues; i++)
        if(values[i] == num)
            found = true;
    return found;
}
```

- T(N) is O(F(N)) for what function F?
 - best case?
 - average case?
 - worst case?

Note: all have the same efficiency function for this code

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Example 2:

```
bool findNum(double[] values, int numValues, double num)
{
    for(int i = 0; i < numValues; i++)
        if(values[i] == num)
            return true;
    return false;
}
```

- T(N) is O(F(N)) for what function F?
 - best case?
 - average case of all inputs that return true?
 - worst case?

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Example 3:

```
Matrix Matrix::add(Matrix rhs)
{
    Matrix sum = new Matrix(numRows(), numCols(), 0);
    for(int row = 0; row < numRows(); row++)
        for(int col = 0; col < numCols(); col++)
            sum.myMatrix[row][col] = myMatrix[row][col]
                + rhs.myMatrix[row][col];
    return sum;
}
```

- T(N) is O(F(N)) for what function F?

Is there a best case or worst case?

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Example 4:

```
public void selectionSort(double[] data, int numValues)
{
    int n = numValues;
    int min;
    double temp;
    for(int i = 0; i < n; i++)
    {
        min = i;
        for(int j = i+1; j < n; j++)
            if(data[j] < data[min])
                min = j;
        temp = data[i];
        data[i] = data[min];
        data[min] = temp;
    } // end of outer loop, i
}
```

Note: $1+2+3+\dots+N = N^*(N+1)/2$

- T(N) is O(F(N)) for what function F?

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Example 5:

```
public int func(int[] list, int length){
    int total = 0;
    for(int i = 0; i < length; i++){
        total += countDups(list[i], list);
    }
    return total;
}
// method countDups is O(N) where N is the
// length of the array it is passed
```

- $T(N)$ is $O(F(N))$ for what function F ?

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Example 6:

- Insert (and remove) for List_3358
 - implemented using arrays (in class: see below)
 - implemented using linked lists (see PA#2 solution)
- These operations are $O(\underline{\quad})$?

```
void List_3358::remove() {
    assert(!atEOL() && !isEmpty());
    for (int i=cursor; i < currentSize-1; i++)
        values[i] = values[i+1];
    currentSize--;
    if (isEmpty())
        cursor = EOL;
}
```

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